Course Outline

(A SIDC CPE approved course)

Title :   AMLA : Advantages of Know Your Clients (KYC)
Date :   21st February 2019
Venue   Moffett Training Centre, E-3-2 , Plaza Kelana Jaya, Jalan SS7/13 A, Kelana Jaya, 47301, Petaling Jaya, KL
CPE :   10 Points
Speaker : Dr Ch'ng Huck Khoon

Objectives

1. Discuss the financial planning process and the important of Know Your Clients (KYC)
2. State the compliance requirements on AMLA by Bank Negara Malaysia and Securities Commission
3. State the purposes, objectives, key characteristics of the Personal Data Protection Act
4. Explain the step-by-step how to get to Know Your Clients

Time	Descriptions
9 : 00 - 10 : 30	**Advantages of Know Your Clients (KYC)** •The Financial Planning Process •Interpersonal skill •Knowledge and Reputation •Establishing Trust Relationship with Clients
10 : 30 - 10 :45	**Coffee Break**
10 : 45 - 13 : 00	**Regulation - AMLA** •Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 •SC latest Guidelines •Risk-based Approach in Conducting Customer Due Diligence •Financing of Terrorism • Reportin Offences and Penalties
13 : 00 - 14 : 00	**Lunch Break**
14 : 00 - 15 : 15	**PDPA** •Personal Data Protection Act 2010 •Data Subject, Data User and Data Processor •Sensitive Personal Data •7 Principles of data Protection •Professional Ethics and Practical Standards
15 : 15 - 15 : 30	**Coffee Break**
15 : 30 - 17 : 00	**What You Should Know About Your Customers?** •How to Get to Know Your Customers? •Mackay's 66-question customer profile •3 Things Your Customers Won't Tell You

TRAINING COURSE REGISTRATION FORM

COURSE TITLE	AMLA : Advantages of Know Your Clients (KYC)
COURSE DATE	21st February 2019
VENUE	Moffett Training Centre, E-3-2 , Plaza Kelana Jaya, Jalan SS7/13 A, Kelana Jaya, 47301, Petaling Jaya
REGISTRATION	8.30AM - 9.00AM
TIME	9.00AM - 5.30PM
FEES	**RM 395 for Banker, member of professional associations [early bird by 21st Jan. 2019]** RM 445 for Banker, member of professional associations **RM 515 for Public [early bird by 21st Jan. 2019]** RM 585 for Public *Fees are inclusive of coffee breaks, lunch, program materials and a Certificate of Attendance*
SIDC CPE Points	**10 (Ten)**
Instructions	Complete this form and fax to 03-76104234 or email to cpeseminar@chkconsultancy.com.my
Payment Details	1. Cheque made payable to "CHK CONSULTANCY SDN BHD". 2. Or bank in to MAYBANK A/C #. 557063320872 3. Scan and email bank in slip to cpeseminar@chkconsultancy.com.my or fax to 03-76104234
Terms & Policies	1. submission of this document would imply agreement to our terms and policies. 2. CHK Consultancy Sdn Bhd only recognizes either payment or Letter of Undertaking and this form to confirm reservation for the participant. 3. CHK Consultancy Sdn Bhd implements a non-refund policy. Transfer to another program date incurs a 20% transfer fee and must be within 1 month from the effected month. However, we allow a replacement participant with no additional charge. 4. Cancellation made within 7 calendar days before the event date will incur a fee of 50% of the program fee. 5. Payment made any time AFTER the program date will result in an additional collection fee amounting to 15% of the original invoiced amount. 6. Registration is on a first-come-first-served basic. Walk-in participant/s will be admitted on the basic of space availability. 7. DISCLAIMER : CHK Consultancy Sdn Bhd reserves the right to change the venue, alter the speaker(s) without further notice, reserves the right to cancel/postpone this program. Administrators and participants will be notified and any payment received will be carried forward.

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DESIGNATION	
NEW NRIC/PASSPORT NO.	
EMAIL ADDRESS	
PHONE NO.	
MOBILE	
SC LICENCE / CMSRL NO.	
PROFESSIONAL ASSOCIATIONS MEMBERSHIP NO.	

ADMINISTRATOR DETAILS	
COMPANY	
CONTACT PERSON	
EMAIL ADDRESS	
PHONE NO.	
FAX NO.	
BUSINESS POSTAL ADDRESS	